

GP/2164
#2
BT
11-09-01

PATENT
01985-P0040D WWW/TMO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|-----------------------|--|
| Applicants | Gary S. Foster, et al. |
| Serial No. 09/930,920 | Filing Date: August 16, 2001 |
| Title of Application: | Prorating Of Contract Notes In System For Facilitating Trade Processing And Trade Management |
| Group Art Unit: 2164 | |

RECEIVED

Assistant Commissioner for Patents
Washington, DC 20231

OCT 11 2001
Technology Center 2100

Information Disclosure Statement by Applicants

Dear Sir:

As a means of complying with duty of disclosure set forth in 37 CFR §1.56, Applicants list the following references which were cited in the parent case, Serial No. 09/504,803. The examiner is requested to inspect that file if he wishes to see the full texts of the cited art.

| U.S. Patent Documents | | | | |
|-----------------------|------------------|--------------|---------|----------------|
| Exam. Initials | Class/ Subclass. | Document No. | Date | Name |
| H10 | | 5,497,317 | 03/1996 | Hawkins et al. |
| I | | 5,285,383 | 02/1994 | Lindsey et al. |
| I | | 5,262,942 | 11/1993 | Earle |
| V | | 5,220,501 | 06/1993 | Lawlor et al. |

Mailing Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Commissioner of Patents and Trademarks; Washington, DC 20231.

October 5, 2001


Linda A. Ferranti

Page 2

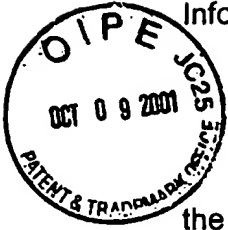
Serial No. 09/930,918

Information Disclosure Statement

| U.S. Patent Documents | | | | |
|-----------------------|---------------------|--------------|---------|------------------|
| Exam. Initials | Class/ Subclass. | Document No. | Date | Name |
| H 7P | | 5,136,501 | 08/1992 | Silverman et al. |
| | | 5,101,353 | 03/1992 | Lupien et al. |
| | | 4,980,826 | 12/1990 | Wagner |
| | | 4,949,248 | 08/1990 | Caro |
| | | 4,823,265 | 04/1989 | Nelson |
| | | 4,774,663 | 09/1988 | Musmanno et al. |
| | | 4,694,397 | 09/1987 | Grant et al. |
| | | 4,674,044 | 06/1987 | Kalmus et al. |
| | | 4,571,463 | 02/1986 | Shefler |
| | | 4,376,978 | 03/1983 | Musmanno |
| ✓ | | 4,346,442 | 08/1982 | Musmanno |

| Foreign Patent Documents | | | |
|--------------------------|--------------|---------|---------------|
| Exam. Initials | Document No. | Date | Country |
| H 7D | GB 2210714 A | 12/1988 | Great Britain |
| ✓ | GB 2161003 A | 01/1986 | Great Britain |

Page 3
Serial No. 09/930,918
Information Disclosure Statement



Those references that are believed to be most pertinent are discussed in the specification of the above-identified application. The additional listed patents pertain in a general way to the subject matter of the application, but are not necessarily considered to be analogous prior art.

Respectfully submitted,

October 9, 2001

Todd M. Oberdick
Wesley W. Whitmyer, Jr., Registration No. 33,558
Todd M. Oberdick, Registration No. 44,268
Attorneys for Applicants
ST. ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street
Stamford, CT 06905-5619
203 324-6155

2/4/07
Date Considered

Harish T Dass
Examiner